FY 2022/23

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DEPARTMENT OF LICENSING AND REGULATORY AFFAIRS CORPORATIONS, SECURITIES & COMMERCIAL LICENSING BUREAU DISCIPLINARY ACTION REPORT

ENF45-YY-343644 Information reported in this Disciplinary Action Report [DAR] results from an Order of a Licensing Board or other appropriate issuing authority. Orders, including Final Orders, are subject to rescission, modification, or other actions subsequent to the issuance of a DAR. These actions will be reported in the subsequent DAR when issued.

CITY	LICENSEE	EFFECTIVE DATE	ACTION TAKEN	REASON
	INVESTMENTS AND SECURITIES			
ROCHESTER HILLS	DAVID CRETCHER CRD# 5057241 ENF-23-020000	5/11/2023	\$300.00 Fine Other Documentation	Violation of Securities Act and/or Rule Violation
MARCO ISLAND, FL	RAY MACKMIN Unregistered ENF-22-020094	5/12/2023	\$1,000.00 Fine	Violation of Securities Act and/or Rule Violation
BYRON CENTER	TODD KRANTZ Unregistered ENF-22-020059	5/12/2023	Registration WITHDRAWN	Violation of Securities Act and/or Rule Violation
ROCHESTER HILLS	WEATHER EYE ADVISORS, INC. CRD# 162302 ENF-22-020142	5/11/2023	\$300.00 Fine Other Documentation	Violation of Securities Act and/or Rule Violation
LAKE MARY, FL	ROBINHOOD FINANCIAL, LLC CRD# 165998 ENF-23-020049	5/23/2023	\$200,000.00. Fine	Violation of Securities Act and/or Rule Violation
MADISON HEIGHTS	ITSONLY5.COM Unregistered ENF-23-020045	5/25/2023	\$10,00.00 Fine	Violation of Securities Act and/or Rule Violation
	PREPAID FUNERAL AND CEMETERY SALES			
EDWARDSBURG	PAUL E MAYHEW FUNERAL HOME Prepaid Funeral Provider Registration No. 3401000166 ENF-23-020017	5/9/2023	1. \$1,000.00/\$2,000.00 Fine 2. Other Documentation	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation
ROCKFORD	PEDERSON FUNERAL HOME Prepaid Funeral Provider Registration No. 3401001355 ENF-23-020026	5/18/2023	\$500.00 Fine	Violation of Prepaid Funeral and Cemetery Sales Act and/or Rule Violation